

Person Acting on Behalf of Investor Form

Ashburton Management Company RF (Proprietary) Ltd ("Ashburton") is the administrator of the Ashburton range of unit trusts, and is authorised to do so as a manager in terms of the Collective Investment Schemes Control Act (no 45. of 2002).

Instructions

1. Please complete this form if you are acting on behalf of the investor e.g. legal guardians or persons with power of attorney.
2. FICA documents must be supplied for the persons acting on behalf of the investor. Please refer to our checklist obtained on our website at www.ashburtoninvestments.com.
3. Submit this form, together with the application or relevant instruction form, and email to ashburtoninstruct@investoradmin.co.za or fax to 0860 762 468.

01. Investor details

Title	Surname
First name(s)	
Identity/Passport number	
Country of issue of passport	Expiry date of passport
	Y Y Y Y M M D D

02. Person acting on behalf of investor

Title	Surname
First name(s)	
Identity/Passport number	Date of birth
	Y Y Y Y M M D D
Country of issue of passport	Expiry date of passport

Please list all your tax registration details below. Note that line 1 is reserved for South African tax registration details.

No.	Tax identification number	Country of issue	Are you considered to be a citizen in this country?		Are you considered to be a national in this country?		Are you currently a tax resident in this country?	
1		South Africa	Yes	No	Yes	No	Yes ☐	No ☐
2			Yes	No	Yes	No	Yes ☐	No ☐
3			Yes	No	Yes	No	Yes ☐	No ☐
4			Yes	No	Yes	No	Yes ☐	No ☐
5			Yes	No	Yes	No	Yes ☐	No ☐
6			Yes	No	Yes	No	Yes ☐	No ☐

Country of residence	
Physical address	
Country	Area code

Telephone number (home)	Telephone number (office)
(+) country dialling code ()	(+) country dialling code ()
Mobile number	
(+) country dialling code ()	
Email address	

03. Your information and privacy

In this section:

"Solutions"	means any banking, insurance, investment, telecommunications or other products, goods, services, benefits, policies, rentals and rewards you select with the FirstRand group of companies.
"Investments/Investment Solutions/Investment Areas"	means investments/investment solutions/investment areas falling outside of FirstRand Bank Limited, but within the FirstRand Group.

3.1 Required Consents

**If you have previously provided us with the below mentioned consents, please ignore this section; alternatively, if you would like to update the below mentioned consents, please log onto the FNBAApp and select "My Profile".*

3.1.1 Product Suitability

I consent that the FirstRand Group (as defined in the FirstRand Group Customer Privacy Notice on our websites) may obtain and use my information from:

A. Credit bureaus to:

Conduct an assessment to determine my eligibility for and the appropriateness of the supply of this transact,telecommunication, invest, rental and/or other value-added solutions (whichever is applicable to this application).

B. And third-party qualification data providers to:

Conduct an assessment to determine my eligibility for and the appropriateness of the supply of this solution applied for.

C. And may obtain, use and share information about me/us with the **South African Fraud Prevention Services** for financial crime detection, prevention and prosecution purposes or if the FirstRand Group reasonably believes that I have provided any false and / or misleading information and / or documents to it.

By ticking the box I consent to the above ☐

I instruct FirstRand Bank Limited to release my information (including my contact details, demographic information, and transaction history on all my solutions) to the investment areas outside of FirstRand Bank Limited but within the FirstRand Group to:

Conduct an assessment to determine my eligibility for and the appropriateness of the supply of this investment solution.

By ticking the box I instruct the FirstRand Group accordingly ☐

Disclosure: Please note that if you withhold or withdraw this consent, it will impact your application for or ongoing use of this solution. Refer to our FirstRand Group Customer Privacy Notice (available on our websites) for the process on how to withdraw this consent or contact us.

3.1.2 Personal Profile

I instruct the FirstRand Group (as defined in the FirstRand Group Customer Privacy Notice available on our websites) to obtain and use my information from credit bureaus to:

Verify and update my personal profile information including contact details and turnover for insurance and investment solutions.

By ticking the box I instruct the FirstRand Group accordingly ☐

3.2 Marketing Consents

**If you have previously provided us with the below mentioned marketing consents, please ignore this section; alternatively, if you would like to update the below mentioned marketing consents, or should you wish to opt out of direct marketing at any time please log onto the FNBAApp and select "My Profile".*

3.2.1 Direct Marketing Consent

I give my consent to receive direct marketing by any electronic communications (including fax, email, SMS, MMS etc.) from the FirstRand Group (as defined in the FirstRand Group Customer Privacy Notice available on our websites) about non-financial solutions (like telecommunications, rental and value-added solutions).

By ticking the box I consent to the above ☐

3.2.2 Personalised Offers

I instruct the FirstRand Group (as defined in the FirstRand Group Customer Privacy Notice on our websites) to obtain and use my information:

A. From credit bureaus (including ongoing notifications regarding my credit applications) to:

Conduct ongoing assessments to determine my eligibility for and the appropriateness of future offers for investment and insurance solutions.

By ticking the box I instruct the FirstRand Group accordingly ☐

B. And from third-party qualification data providers to:

Conduct ongoing assessments to determine my eligibility for and the appropriateness of future offers for all FirstRand Group solutions.

By ticking the box I instruct the FirstRand Group accordingly ☐

I instruct FirstRand Bank Limited to release my information (including my contact details, demographic information and transaction history on all solutions held) to the insurance and investment areas within the FirstRand Group to conduct ongoing assessments to determine my eligibility for and the appropriateness of offers for any insurance or investment solutions.

By ticking the box I instruct the FirstRand Group accordingly ☐

3.2.3 Subscription Services

I give my consent to receive Value added/educational information (e.g. market or investment related information)

By ticking the box I consent to the above ☐

3.3 Privacy Notice

Your personal information as captured above will be held by entities within the FirstRand Group. To better understand the entities that form part of the FirstRand Group and how your personal information is treated, please refer to FirstRand's Privacy Notice. The Privacy Notice can be found on Our website (www.firststrand.co.za).

By signing this form you declare that:

- 1. I warrant that all information provided by me in this form and all other applicable documents are true and correct in every respect.
- 2. I have read, understood and agree to the Terms and Conditions available on www.firststrand.co.za or from the Client Service Team on 0860 000 339 and agree to be bound by such Terms and Conditions.

Person acting on behalf of investor

Date

	Y		Y		Y		Y		M		M		D		D	
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