

California Supply Chain Transparency Statement 2026

This statement outlines Lonza's approach to supply chain transparency and has been published in accordance with the California Transparency in Supply Chains Act (the "Act"). It sets out the steps that Lonza USA Inc. and its subsidiaries ("Lonza") have taken to prevent modern slavery in their businesses and direct supply chains. Unless otherwise specified, all references to Lonza herein are references to the above-named Lonza entities and to Lonza globally to the extent it is subject to the Act.

About Lonza

Lonza is a leading contract development and manufacturing organization (CDMO) serving the healthcare industry. The company works alongside a broad range of customers – from emerging biotech organizations to global pharmaceutical companies – to transform their therapeutic discoveries into life-saving and life-enhancing treatments for their patients.

Founded in 1897 in the Swiss Alps, today Lonza operates globally across a network of sites and capabilities. Ethical business conduct is fundamental to Lonza's operations. Lonza also takes a sustainable approach to minimizing its impact on the environment, conserving energy and natural resources, and helping to improve quality of life. As such, Lonza fully embraces the spirit of the objectives reflected in the Act and has implemented policies and processes to prevent the use of unpaid or forced labor in the production of its products and services. Lonza maintains strong safety, quality, and human resource standards. This Supply Chain Transparency Statement describes particular policies and procedures that Lonza has in place to prevent the use of unpaid or forced labor.

Lonza's suppliers are required to comply with Lonza's [Supplier Code of Conduct](#), which sets forth certain ethical and quality standards, including standards related to labor, health and safety, and the environment. Lonza also provides a reference to its Supplier Code of Conduct in purchase orders that it submits to its suppliers. Should a supplier fail to comply with the Supplier Code of Conduct, Lonza reserves the right to request corrective action and/or immediately terminate any agreement with such supplier.

Lonza's Supplier Code of Conduct embraces the principles behind the Act, including:

- Requiring that its suppliers act in a socially responsible and ethical way and that their business behavior be in full compliance with all applicable international, national, and local laws and contractual terms, as well as internationally recognized environmental, social and corporate governance standards.
- Prohibiting the use of forced or involuntary labor.

- Prohibiting the use of child labor.
- Requiring work hours, minimum wages, and overtime hours to be paid to employees, as well as any mandated benefits, in compliance with applicable law. Compensation and benefits should aim at ensuring a living wage according to local living conditions.
- Prohibiting the threat of or actual inhumane treatment or physical punishment of workers.

Lonza's Supplier Code of Conduct applies to both its suppliers and to any subcontractors or other third parties that a supplier may engage in the performance of its contractual obligations.

1. Verification Procedures

Lonza's suppliers are instructed to implement internal measuring procedures, tools, and indicators required to guarantee adherence to the Lonza Supplier Code of Conduct principles. Suppliers are encouraged to report any violations by sending an e-mail to compliancegroup@lonza.com or by using our ethics and compliance reporting hotline (www.lonzaethicshotline.com). All third parties (100%) are blocked from all transactions in our enterprise resource planning (ERP) system until they have been screened via integrated systems against sanctions and restricted and denied parties lists. All new vendors are blocked from all transactions in our ERP system until our due diligence process and risk assessment are satisfactorily completed.

Lonza reserves the right to assess a supplier's compliance with its Supplier Code of Conduct under the form of questionnaires, documentation review and/or onsite audits. Lonza may directly conduct this assessment or may engage a third party to carry out the assessment. Lonza expects its suppliers to similarly reserve the right to assess and audit their suppliers as necessary to comply with the principles and obligations set forth in Lonza's Supplier Code of Conduct.

2. Audit

Lonza reserves the right to ask for written confirmation of a supplier's compliance with the Supplier Code of Conduct and to audit its suppliers accordingly, either directly by Lonza or via a third-party auditor selected by Lonza.

3. Certification

Suppliers that execute Lonza's supply agreements are expected to comply with Lonza's Supplier Code of Conduct, which in addition to the principles outlined above, also states that Lonza aligns with the principles of the United Nations Global Compact, the International Labor Organization, the global Responsible Care® program, as well as of other responsible sourcing initiatives of which Lonza

is a member, including Together for Sustainability (TfS) and the Pharmaceutical Supply Chain Initiative (PSCI). In the event that Lonza becomes aware of any actions or conditions not in compliance with its Supplier Code of Conduct, Lonza reserves the right to request corrective actions and the right to terminate any agreement with a non-compliant supplier unless our supplier agreement provides otherwise.

4. Internal Accountability

Lonza's internal [Code of Conduct](#) defines the professional standards that should guide all Lonza activities and the standards we expect of all Lonza employees. In keeping with the fundamental Lonza values of openness, loyalty, fair dealing, integrity, mutual respect and honesty, Lonza sees the Code of Conduct as a key element of its relationships with employees and third parties.

The Lonza Code of Conduct includes a prohibition on discrimination, which states in part that "Lonza does not tolerate any discrimination, harassment or bullying on the basis of ethnicity, national origin, color, religion, sexual orientation, creed, age, sex, disability or any similar characteristic. Nor will Lonza engage in or support, directly or indirectly, child labor, or bonded or forced labor of any kind in the research, manufacture, sale and distribution of our products or by our suppliers."

The Lonza Code of Conduct is issued as part of the terms of employment of all Lonza companies, and the Board of Directors insists on full compliance by all employees, regardless of their position.

Moreover, Lonza's ethics and compliance hotline provides a mechanism for employees and others to report potential violations of the Code of Conduct, any Lonza policies or procedures (including those related to ethical sourcing), or applicable laws. Such reports may be made anonymously where allowable by law. Those found responsible for any violations may face disciplinary action, to include termination of employment.

5. Training

Lonza provides annual training to its employees regarding compliance with its Code of Conduct, which includes a prohibition on engaging in or supporting the use of forced labor. The Code of Conduct training includes prevention of discrimination and harassment, among other items. Lonza also provides additional training to its purchasing employees on the Supplier Code of Conduct. Additionally, Lonza provides training on Human Rights and Fair Competition to further instill the cultural values of Lonza that as an organization, Lonza does not tolerate inhumane treatment, or unfair purchasing practices.

We also provide training and capacity-building programs for our employees, contingent workers and suppliers, through the TfS Academy, a learning platform provided by TfS, and through our Ethics and Compliance learning platform for employees and contingent workers.