

Responsible Supplier Toolkit

A Guide for Suppliers to Meet Our Responsible Sourcing Expectations

Responsible Sourcing | 2025



A Letter to Our Suppliers

Lonza’s activities touch many people’s life in a wide variety of industries. Therefore, we have a responsibility and duty to ensure that our social, environmental and ethical principles are translated into consistent and appropriate behavior-not only in our operations, but also in our supply chain.

Based on these values, we launched a Responsible Sourcing program, which is relevant to all suppliers, business partners and their contractors doing business with Lonza.

Lonza commits to improve ESG along its supply chain, in alignment with SDG 12.

We source from responsible suppliers that meet strict compliance standards

We source from responsible suppliers that have programs in place to reduce their emissions, waste, and natural resource use

We engage strategic industry partners for collaboration in **responsible sourcing**

This toolkit is designed to guide our suppliers in understanding how to meet our environment, social, and governance (ESG) expectations, while supporting progress toward responsible sourcing goals.

Thank you very much in advance for your collaboration.



Christian Seufert
Head of Advanced Synthesis & SDG 12 Sponsor
Executive Committee



Geert Van Acker
Head of Procurement & Supply Chain
Group Operations

We group our sustainability initiatives around seven key Sustainable Development Goals (SDGs). As of 2022, executive compensation and global performance-based rewards are aligned with our SDGs related metrics.



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Legal disclaimer






The material in this toolkit is intended to serve as general background information on Lonza’s Responsible Sourcing activities and requirements towards suppliers.

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Our Approach to Responsible Sourcing

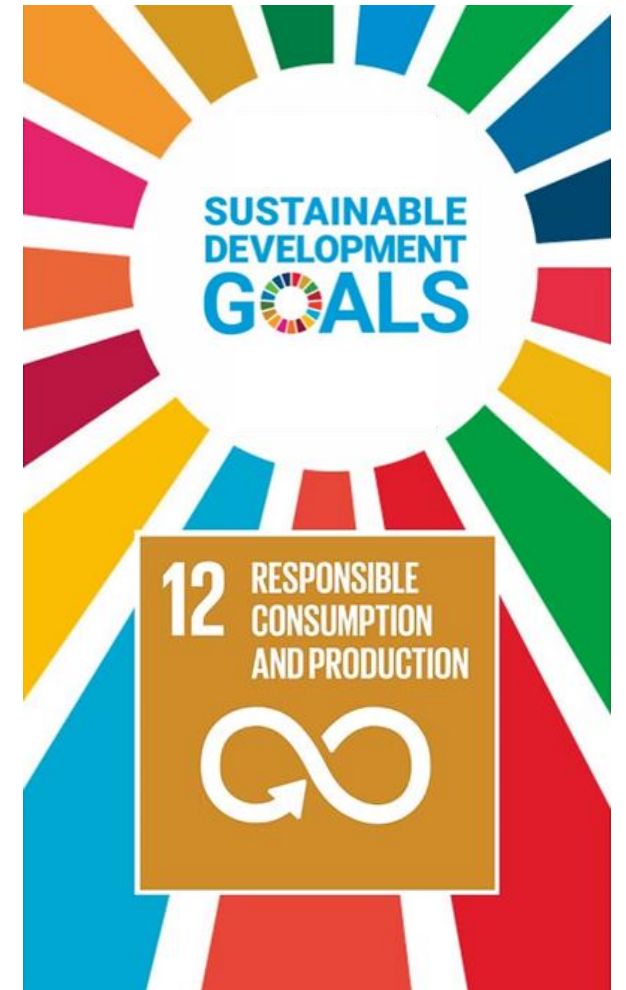
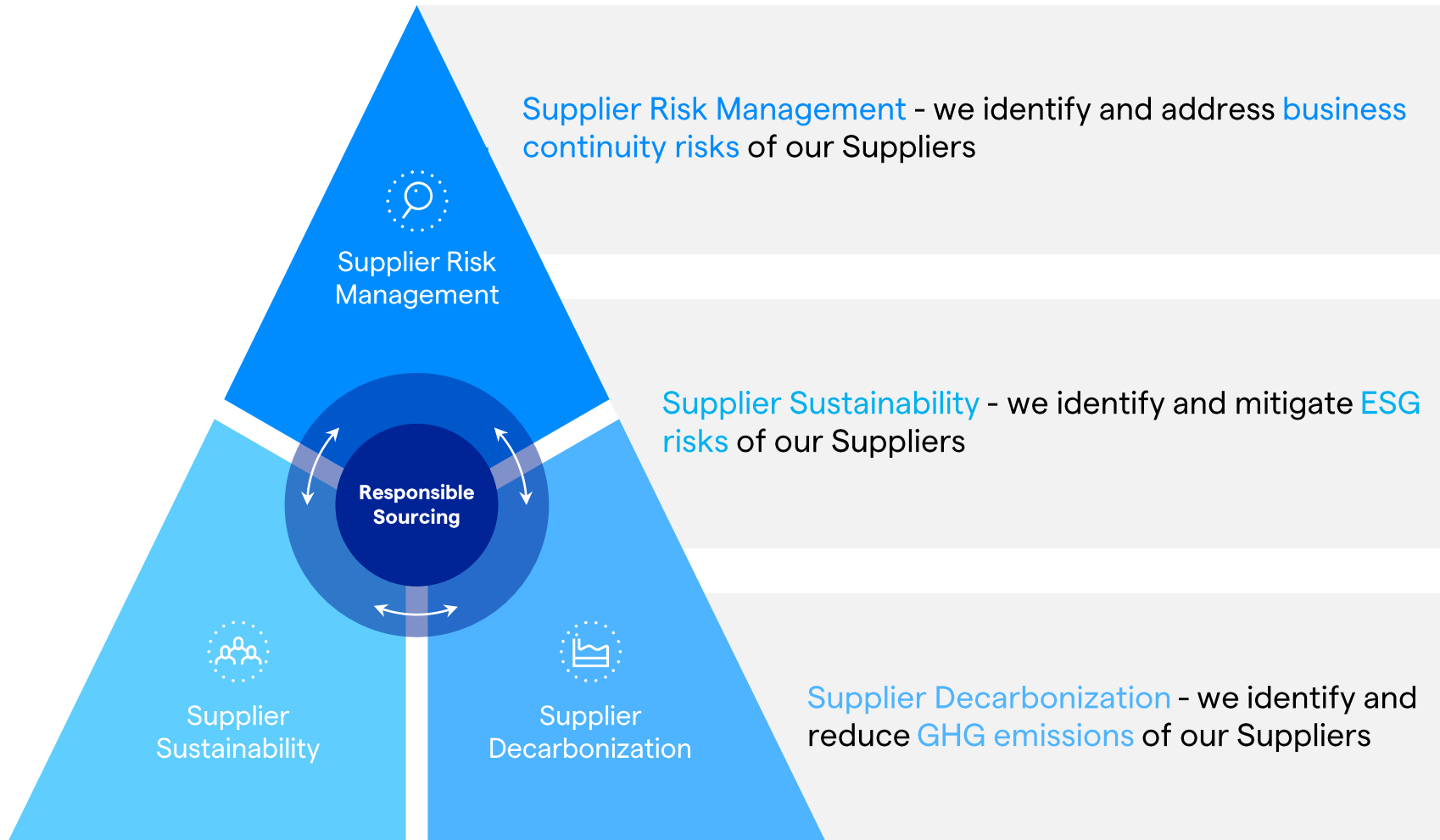
...is aligned with international standards and industry best practices

 <p>UNGC</p>	 <p>OECD</p>	 <p>TfS</p>	 <p>SBTi</p>	 <p>EcoVadis</p>
<p>Participating company of the UN Global Compact</p>	<p>Due diligence practices aligned with OECD Guidelines</p>	<p>Member of the Together for Sustainability Initiative</p>	<p>Climate Targets aligned with science (SBTi approved)</p>	<p>Ranked in top 5% of companies assessed by EcoVadis worldwide</p>

 <p>Our Policies</p>	<p>1</p> <p>Lonza Code of Conduct</p>	<p>2</p> <p>Lonza Supplier Code of Conduct</p>	<p>3</p> <p>Conflict Minerals Public Policy Statement</p>	<p>4</p> <p>UK Modern Slavery Statement</p>	<p>5</p> <p>California Supply Chain Transparency Statement</p>
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Our Approach to Responsible Sourcing

...is built on three strategic pillars, each guided by our commitment to SDG 12



Supplier Risk Management

We consider a wide range of risks that could impact business continuity



Supplier Sustainability

We identify potential Supplier ESG risks from our Supplier Sustainability Risk Framework

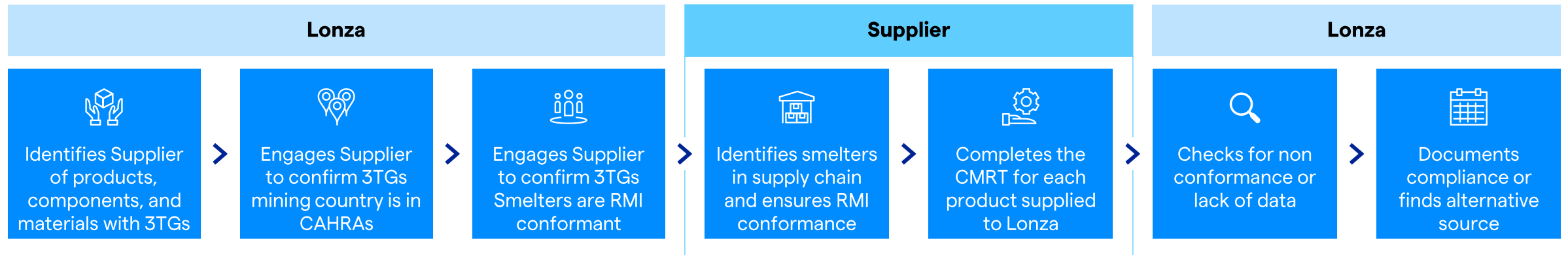
We aim to source 95% of our spend from Suppliers with a Sustainability Risk Evaluation by 2028

Lonza's Supplier Sustainability Risk Framework		ecovadis	INTEGRITY NEXT	TOGETHER FOR SUSTAINABILITY
Low Risk <i>Minimum expectation by 2028</i>	Leading Supplier has leading sustainability policies and actions, including innovative practices and external recognition	85 – 100		≤ 9 minor findings
	Advanced Supplier has advanced sustainability policies and actions on broad ESG issues with detailed KPI tracking and reporting	65 – 84		10-19 minor findings
	Committed Supplier has sustainability policies and actions on key ESG issues with high level KPI tracking and reporting	45 – 64	Green	1-9 major or ≥ 20 minor findings
Medium risk	Partial Supplier has few sustainability policies and actions on basic ESG issues with basic KPI reporting	25 – 44	Yellow	10-19 major findings
High risk	Insufficient Supplier has no policies and actions regarding sustainability or evidence of misconduct	0 – 24	Red	≥ 1 critical or ≥ 20 major findings
accepted evaluation types conducted by Supplier				

Supplier Sustainability

We source products, components, and materials that contain 3TGs from conflict-free sources

Responsible Minerals Sourcing



Key Questions to our Suppliers

Do you sell 3TG products to Lonza?

3TGs: Tin, Tantalum, Tungsten & Gold



Are your 3TGs products sourced in CAHRAS?

CAHRAS are [Conflict Affected High Risk Areas](#)



Are the smelters in your supply chain conflict-free (RMI conformant)?

RMI is the [Responsible Minerals Initiative](#)



Do you have a CMRT for your 3TG products?

CMRT is the [Conflict Minerals Reporting Template](#) by RMI

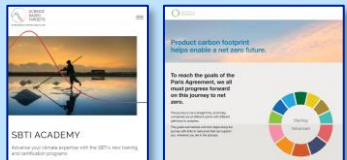


Supplier Decarbonization



We expect Suppliers to be minimum Advanced by 2028

We aim for suppliers representing 67% of our Scope 3 emissions¹ to have set science-based targets (SBTs) by 2028

Lonza's Supplier Decarbonization Maturity Framework		Your Path to High Maturity	
High Maturity <i>Minimum expectation by 2028</i>	Leading	Supplier is "Advanced" and provides Product Carbon Footprints (PCFs) for materials representing 80% of spend ²	<ul style="list-style-type: none"> Calculate your Product Carbon Footprints (PCFs) and share with Lonza Set Science Based Targets via the SBTi or share your climate targets with Lonza Make commitment to set science-based targets via the SBTi³ or sign our clause Conduct a GHG emissions inventory across Scopes 1, 2, and 3
	Advanced	Supplier has SBTi -approved targets ³ or 1.5°C-aligned Scope 1 & 2 targets confirmed via our SBT checklist	
Medium maturity	Committed	Supplier has committed to setting SBTs via SBTi letter ³ or by signing our Responsible Sourcing contract clause	
	Partial	Supplier has not yet set SBTs, nor made a formal public commitment to set them	
Low maturity	Insufficient	Supplier has not shared information on policies, actions/ targets, and reporting on greenhouse gas (GHG) emissions	<p>Follow the SBTi Academy courses and the TfS Guide to help you navigate all steps towards High Maturity</p> 

¹This aligns with the official SBTi target: By 2028, 79% of suppliers by emissions (categories 3.1, 3.2, 3.4) will have set science-based targets

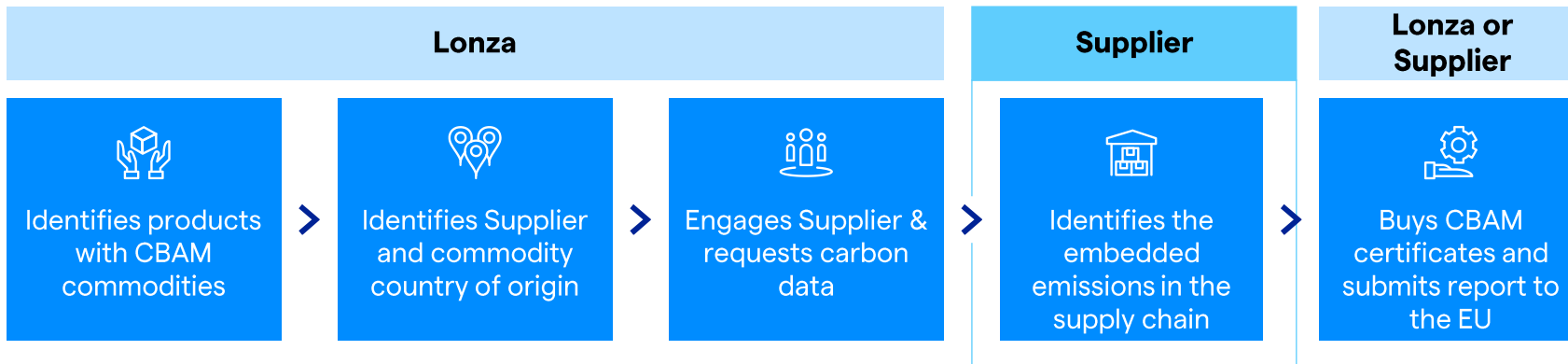
²Lonza's spend by vendor in previous year. ³SBTi official commitment and validation is recommended, but not required

Supplier Decarbonization

We source CBAM (Carbon Border Adjustment Mechanism) commodities from compliant sources



CBAM Compliant Sourcing



Key Questions to our Suppliers

Do you sell CBAM products to Lonza?

Commodities and products listed in [Annex I](#) of CBAM

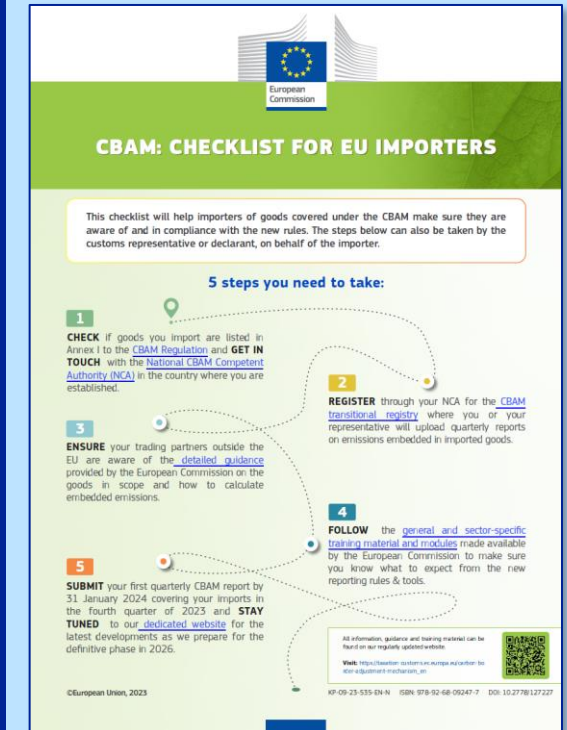
Is the country of origin of your CBAM products outside the EU?

Countries linked to the EU ETS are currently exempt to avoid double carbon pricing. Check the latest status [here](#).

Have you calculated the embedded emissions of your CBAM products?

Embedded emissions are the greenhouse gases (direct and, in some sectors, indirect) released during a product's manufacturing.

This [checklist](#) supports importers of goods covered under CBAM in ensuring awareness and compliance



ESG Training

We offer hundreds of training courses across all aspects of ESG via the TfS Academy

ESG Training Courses for Our Suppliers



THE TfS ACADEMY
Unlock Your SustainAbilities

**> 400
courses**

**FREE
of charge**

[**REGISTER HERE**](#)

- > [Introduction to Climate Change](#)
- > [Scopes 1 & 2 emissions](#)
- > [Scope 3 emissions](#)
- > [Climate Target Setting](#)
- > [Mastering Emission Reductions](#)
- > [TfS PCF Guideline & how to calculate PCFs](#)
- > [Child Labour](#)
- > [Modern Slavery](#)
- > [Discrimination and Harassment](#)
- > [Conflict Minerals \(3TGs\)](#)
- > [Carbon Border Adjustment Mechanism \(CBAM\)](#)
- > [EU Deforestation Regulation \(EUDR\)](#)

1 Which Sustainability Assessment should my company do?

We target specific suppliers for EcoVadis campaigns, while others for Integrity Next or TfS Audit. **Your Lonza contact shall inform you.**

2 Who is covering the cost for the Sustainability Assessment and climate target setting?

- **EcoVadis** - at [Supplier's expense](#)
- **Integrity Next** - at Lonza's expense
- **TfS Audit** - upon agreement with Supplier
- **Climate Target Setting** – at Supplier's expense

3 What happens if my Sustainability Risk is High?

We will ask you to complete corrective actions and **get re-assessed in 12 months (High Risk) or 24 months (Medium Risk)**. For **Low Risk**, we will ask you to **renew your assessment in 3 years**.

4 How can I confirm my climate targets are aligned with Science?

Ideally, targets should be validated **through the SBTi, which is highly recommended**. We can also assess them using [Lonza's SBT Checklist](#) to confirm an Advanced Decarbonization Level.

5 Why does Lonza ask suppliers to set climate science based targets?

To align with the Paris Agreement, strengthen climate performance and targets, and drive sustainable supply chains, reducing climate risks while unlocking opportunities via innovation.

6 How can we share our Product Carbon Footprints with Lonza?

We recommend to share via [SiGREEN](#) (*free of charge*). Otherwise, you can share your PCF **via email or fill out Lonza's supplier PCF template**. **Your Lonza contact shall inform you.**

7 Does Lonza include Responsible Sourcing clauses into its contracts?

We are increasingly **adding Responsible Sourcing clauses** to renewed and new supplier **contracts, tenders, RFQs, RFPs**, and letters of intent.

8 Do you accept any other audits apart from TfS Audits?

We also accept **PSCI Audits** and **SMETA Audits**.

9 How can I identify emission reduction opportunities?

We recommend two *free* resources: the [PSCI Decarbonization Playbook](#) for pharma industry and suppliers; and [The Climate Drive](#) with tools and guidance for emissions reduction.



Your Next Steps



Start the sustainability assessment and share results with Lonza



Perform corrective actions and get re-assessed for medium/high risk



Calculate your GHG emissions and Set Science Based Targets



Calculate your PCFs (for Lonza products) and share with Lonza



Register in the TfS Academy



Select your ESG training courses